

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 3651
Examiner: Mark A. Deuble

In Re PATENT APPLICATION OF

Applicant(s) : Alexander PIETZ

Appln. No. : 10/728,852

Conf. No. : 9440

Filing Date : December 8, 2003

For : DRIVE DEVICE FOR ESCALATORS
OR MOVING WALKWAYS

Atty. Dkt. : 31794-198947

**INFORMATION
DISCLOSURE
STATEMENT**

26694

U.S. PATENT AND
TRADEMARK OFFICE

October 14, 2004

MAIL STOP DD

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find the attached PTO-1449 and copies of the non-U.S. references cited therein, listing references referenced in a corresponding application.

In order to comply with applicant's duty of disclosure under 37 C.F.R. § 1.56, the U.S. Patent Office is notified of the documents which are listed on the attached Form PTO-1449 and which the Examiner may deem relevant to patentability of the claims of the above-identified application. One copy of each of the listed documents is submitted herewith.

U.S. Patent No. 4,775,044 is merely an evidentiary showing and is referred to in the Amendment enclosed herewith.

U.S. Patent Nos. 6,351,096 B1 and 6,640,959 appear to be English language equivalents of previously submitted DE 10020787 A1 (cited in the International Search Report of PCT/EP02/05409) and DE 19952168 A1 (cited in previously submitted IDS), respectively.

An English language Abstract for DE 19936742, previously submitted in an IDS.

In view of the above, no further translation or statement of relevance is required, and as all requirements of 37 C.F.R. § 1.97 and all official guide lines pertaining to Information Disclosure Statements have been complied with, and it is therefore respectfully requested that the Examiner consider the documents and make them of record.

Respectfully submitted,



Thomas G. Wiseman
Registration No. 35,046

Date: October 14, 2004

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/728,852
Filing Date	December 8, 2003
First Named Inventor	Alexander PIETZ
Group Art Unit	3651
Examiner Name	Mark A. Deuble
Attorney Docket Number	31794-198947

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	4,775,044		Höfling	10/04/1998	
	2	6,351,096	B1	Jang	02/26/2002	
	3	6,640,959	B1	Haumann et al.	11/04/2003	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₈
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Abstract for DE 19936742	

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Signature

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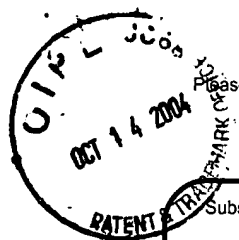
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Examiner Name	Unassigned
Attorney Docket Number	31794-198947

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		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	A1	DE	199 36 742	A1		02-08-2001		
	A2	DE	199 52 168	A1		05-10-2001		

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

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